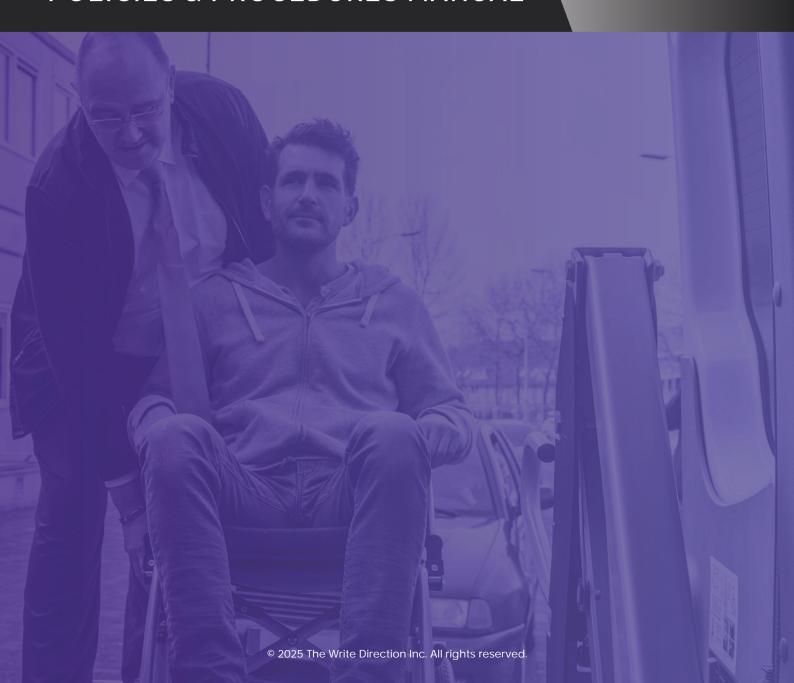
# ARKANSAS-BASED NEMT PROVIDER

**POLICIES & PROCEDURES MANUAL** 



# **TABLE OF CONTENTS**

I.C	Introduction	11	l
	1.1 Purpose and Scope	. 11	
	1.2 Company Overview	11	
	1.3 Compliance and Regulatory Framework	. 12	)
2.	O Vehicle Acquisition and Maintenance	. 13	3
	2.1 Purpose	. 13	)
	2.2 Additional Authority	. 13	3
	2.3 Scope	. 13	}
	2.4 Responsible Party	. 13	3
	2.5 Definitions	. 13	3
	2.6 Policy Statement	. 13	)
	2.7 Procedure	. 14	Ļ
	2.7.1 Vehicle Acquisition		
	2.7.2 Vehicle Maintenance	. 14	ļ
	2.7.3. Vehicle Retirement	. 15	)
	2.4. Documentation	. 15	)
	2.4.1 Vehicle Records	. 15	)
	2.4.2 Inspection Reports	. 15	)
	2.4.3 Maintenance Records		
	2.5. Compliance	. 15	)
	2.5.1 ARDOT Regulations	. 15	)
	2.5.2 Inspections and Audits	. 15	)
	2.6. Review and Revision	. 16	ì
3.	O Vehicle Safety Features and Requirements		
	3.1 Purpose	. 17	,
	3.2 Additional Authority	. 17	,
	3.3 Scope	. 17	,
	3.4 Responsible Party	. 17	,
	3.5 Definitions	. 17	,
	3.6 Policy Statement	. 17	,
	3.7 Procedure	. 17	,
	A. Vehicle Safety Features and Requirements	. 17	,
	B. Vehicle Maintenance and Repair	. 18	3
	C. Driver Responsibilities	. 18	3
	D. Compliance and Enforcement	. 19	)

E. Review and Revision	19
4.0 Vehicle Assignment and Use	21
4.1 Purpose	21
4.2 Additional Authority	21
4.3 Scope	21
4.4 Responsible Party	21
4.5 Definitions	21
4.6 Policy Statement	21
4.7 Procedure	21
4.7.1 Vehicle Assignment and Use	
4.7.2 Compliance and EnforcementReview and Revision	23
Review and Revision	23
5.0 Vehicle Usage Guidelines	
5.1 Purpose	
5.2 Additional Authority	25
5.3 Scope	
5.4 Responsible Party	25
5.5 Definitions	
5.6 Policy Statement	25
5.7 Procedure	25
5.7.1 Usage Guidelines5.7.2 Driver Responsibilities	25
5.7.2 Driver Responsibilities	26
5.7.3 Vehicle Care and Maintenance	26
5.7.4 Incident Reporting and Response	27
5.7.5 Compliance and Monitoring	27
Review and Revision	27
6.0 Drivers Qualification	28
6.1 Purpose	29
6.2 Additional Authority	
6.3 Scope	29
6.4 Responsible Party	
6.5 Definitions	29
6.6 Policy Statement	29
6:7 Procedure	29
6.7.1 Driver's License	29
6. 7.2 Driver's Record	30
6.7.3 Driver Training	30
6.7.4 Driver Monitoring	31
6.7.5 Driver Impairment	31

6.7.6 Driver Conduct	31
6.7.7 Driver Reporting	31
Review and Revision	32
7.0 Drug and Alcohol Policy	32
7.1 Purpose	
7.2 Additional Authority	33
7.3 Scope	33
7.4 Responsible Party	
7.5 Definitions	
7.6 Policy Statement	
7.7 ProceduresReview and Revision	35
Review and Revision	36
VIII. References	36
8.0 Rehabilitation and Assistance Programs, Drug Test	Reporting, and Record Keeping 37
8.1 Purpose	38
8.2 Additional Authority	
8.3 Scope	
8.4 Responsible Party	
8.5 Definitions	38
8.6 Policy Statement	
8.7 Procedure	Error! Bookmark not defined.
8.7.1 Rehabilitation and Assistance Programs	
8.7.2 Confidentiality and Privacy	Error! Bookmark not defined.
8.7.3 Reporting and Record-Keeping (ARDOT: 13.	
8.8 Procedures	Error! Bookmark not defined.
8.8.1 Pre-Employment Testing	Error! Bookmark not defined.
8.8.2 Random Testing	
8.8.3 Post-Incident Testing	
8.8.4 Disciplinary Actions	
8.9 Review and Revision	
References	
9.0 Safety Policy	
9.1 Purpose	
9.2 Additional Authority	
9.3 Scope	
9.4 Responsible Party	
9.5 Definitions	
9.6 Policy Statement	
9.7 Procedure	Error! Bookmark not defined.

9.7.1 General Safety Rules	Error!	Bookmark	not defined.
9.7 2 Emergency Procedures	Error!	Bookmark	not defined.
9.7.3 Protective Equipment	Error!	Bookmark	not defined.
Review and Revision	Error!	Bookmark	not defined.
References	. Error!	Bookmark	not defined
10.0 Hazard and Accident Reporting	. Error!	Bookmark	not defined
10.1 Purpose	Error!	Bookmark	not defined.
10.2 Additional Authority	. Error!	Bookmark	not defined.
10.3 Scope	. Error!	Bookmark	not defined.
10.4 Responsible Party	. Error!	Bookmark	not defined.
10.5 Definitions	. Error!	Bookmark	not defined.
10.6 Policy Statement	. Error!	Bookmark	not defined.
10.7 Procedure	Error!	Bookmark	not defined.
10.7.1 Reporting Hazards	. Error!	Bookmark	not defined.
10.7.2 Regular Safety Training	Error!	Bookmark	not defined.
10.7.3 Accident Reporting and Investigations (ARDOT:	13.1.3.2	2). <b>Error! B</b>	ookmark not
defined.  Review and Revision			
11.0 Stretcher Handling and Transportation Policy			
11.1 Purpose:	. Error!	Bookmark	not defined.
11.2 Additional Authority:	. Error!	Bookmark	not defined.
11.3 Scope:	. Error!	Bookmark	not defined.
11.4 Responsible Party:	. Error!	Bookmark	not defined.
11.6 Policy Statement	. Error!	Bookmark	not defined.
11.6.1 Stretcher Use, Handling, and Operation			
11.6.2 Maintenance and Inspections			
11.6.3 Safety Guidelines			
11.6.4 Training Requirements			
11.6.5 Compliance Checks			
11.6.6 Stretcher Loading and Securing (ARDOT: 13.1.4 defined.	∙.∠)	Error! De	JOKIIIAIK IIOI
11.6.7 Record Keeping	Error!	Bookmark	not defined.
11.6.8 Reporting			
Review and Revision			
11.7 Procedure	. Error!	Bookmark	not defined.
11.7.1 Stretcher Use, Handling, and Operation	Error!	Bookmark	not defined
11.7.2 Maintenance and Inspections			
11.7.3 Safety Guidelines			
11.7.4 Training Requirements	Error!	Bookmark	not defined

11.7.5 Compliance Checks	Error! Bookmark not defined.
11.7.6 Stretcher Loading and Securing (ARDOT: 13.1.4 defined.	.2) Error! Bookmark not
11.7.7 Record Keeping	Error! Bookmark not defined.
11.7.8 Reporting	Error! Bookmark not defined.
11.7.8 Review and Revision	Error! Bookmark not defined.
Notes on Compliance with ARDOT Regulations:	Error! Bookmark not defined.
Policy Review and Approval	Error! Bookmark not defined.
12.0 Wheelchair Handling and Transportation Policy	Error! Bookmark not defined.
12.1 Purpose	Error! Bookmark not defined.
12.2 Additional Authority	Error! Bookmark not defined.
12.3 Scope	Error! Bookmark not defined.
12.4 Responsible Party	Error! Bookmark not defined.
12.5 Definitions	Error! Bookmark not defined.
12.6 Policy Statement	Error! Bookmark not defined.
12.6.1 Wheelchair Usage, Handling, and Safety Proced	Error! Bookmark not defined.
12.6.2 Maintenance Guidelines	Error! Bookmark not defined.
12.6.3 Training for Handlers	Error! Bookmark not defined.
12 6 4 Compliance Checks	Error! Bookmark not defined
12.6.5 Record-Keeping	Error! Bookmark not defined.
12.6.6 Review and Revision	Error! Bookmark not defined.
12.6.7 Policy Review and Approval	Error! Bookmark not defined.
12.6.8 Wheelchair Policy	Error! Bookmark not defined.
12.7 Wheelchair Usage, Handling, and Safety Procedures <b>Bookmark not defined</b> .	(ARDOT: 13.1.5.1) <b>Error!</b>
12.8 Maintenance Guidelines	Error! Bookmark not defined.
12.9 Loading and Securing Wheelchairs (ARDOT: 13.1.5.2 defined.	2) Error! Bookmark not
12.10 Training for Handlers	Error! Bookmark not defined.
12.11 Record-Keeping	Error! Bookmark not defined.
Policy Review and Revision	Error! Bookmark not defined.
References	Error! Bookmark not defined.
Notes on Compliance with ARDOT Regulations:	Error! Bookmark not defined.
Policy Review and Approval	Error! Bookmark not defined.
Approval Signatures	Error! Bookmark not defined.
Policy Distribution	Error! Bookmark not defined.
13.0 Training Policy	Error! Bookmark not defined.
Policy Number: TRN-001	Error! Bookmark not defined.
Effective Dates: June 22, 2024 - Present	Error! Bookmark not defined.

Reviewed and Revised Dates: June 22, 2024	Error! Bookmark not defined.
Policy Title: Comprehensive Driver Training and Develo <b>Bookmark not defined.</b>	pment Program <b>Error!</b>
13.1 Purpose	Error! Bookmark not defined.
13.2 Additional Authority	Error! Bookmark not defined.
13.3 Scope	Error! Bookmark not defined.
13.4 Responsible Party	Error! Bookmark not defined.
13.5 Definitions	Error! Bookmark not defined.
13.6 Policy Statement	Error! Bookmark not defined.
13.6.1 Orientation and Initial Training	Error! Bookmark not defined.
13.6.2 Driver and Vehicle Operational Training	Error! Bookmark not defined.
13.6.3 Ongoing Professional Development	Error! Bookmark not defined.
13.6.4 Specialized Training Programs	Error! Bookmark not defined.
13.6.5 Performance Evaluation	Error! Bookmark not defined.
13.6.6 Training Record Management	Error! Bookmark not defined.
13.7 Procedures	Error! Bookmark not defined.
13.7.1 Orientation and Initial Training Procedures	Error! Bookmark not defined.
13.7.2 Driver and Vehicle Operational Training Procedu defined.	res Error! Bookmark not
13.7.3 Ongoing Professional Development Procedures.	Error! Bookmark not defined.
13.7.4 Specialized Training Programs Procedures	Error! Bookmark not defined.
13.7.5 Performance Evaluation Procedures	Error! Bookmark not defined.
13.7.6 Training Record Management Procedures	
13.8 Orientation and Initial Training	Error! Bookmark not defined.
13.9 Driver and Vehicle Operational Training	Error! Bookmark not defined.
13.10 Ongoing Professional Development	Error! Bookmark not defined.
13.11 Specialized Training Programs	Error! Bookmark not defined.
13.12 Performance Evaluation	Error! Bookmark not defined.
13.13 Training Record Management	Error! Bookmark not defined.
14.0 Confidentiality and Data Protection Policy	Error! Bookmark not defined.
14.1 Purpose	Error! Bookmark not defined.
14.2 Additional Authority	Error! Bookmark not defined.
14.3 Scope	Error! Bookmark not defined.
14.4 Responsible Party	Error! Bookmark not defined.
14.5 Definitions	Error! Bookmark not defined.
14.6 Policy Statement	Error! Bookmark not defined.
14.7 Procedures	Error! Bookmark not defined.
14.7.1 Personal Information Protection	Error! Bookmark not defined.
14.7.2 Data Protection Procedure/Security Measures (A Bookmark not defined.	RDOT: 13.1.7.1) <b>Error!</b>
DUUNIIIGIN IIUL UEIIIIEU.	

14.7.3 Breach Notification Procedures	Error!	Bookmark	not d	lefined.
14.7.4 Employee Training on Data Privacy	Error!	Bookmark	not d	lefined.
14.7.5 Audit and Compliance Checks	Error!	Bookmark	not d	lefined.
Review and Revision	Error!	Bookmark	not d	lefined.
References	Error!	Bookmark	not d	lefined.
Notes on Compliance with Data Protection Regulations.	Error!	Bookmark	not d	lefined.
Policy Review and Approval	Error!	Bookmark	not d	lefined.
Approval Signatures	Error!	Bookmark	not c	lefined.
Policy Distribution	Error!	Bookmark	not c	lefined.
15.0 Grievance Procedures	Error!	Bookmark	not d	lefined.
15.1 Purpose	Error!	Bookmark	not c	lefined.
15.2 Additional Authority	Error!	Bookmark	not d	lefined.
15.3 Scope	Error!	Bookmark	not d	lefined.
15.4 Responsible Party	Error!	Bookmark	not d	lefined.
15.5 Definitions	Error!	Bookmark	not d	lefined.
15.6 Policy Statement	Error!	Bookmark	not d	lefined.
15.7 Procedure				
15.7.1 Filing Grievances	Error!	Bookmark	not d	lefined.
15.7.2 Investigation Procedures	Error!	Bookmark	not d	lefined.
15.7.3 Resolution and Follow-up				
15.7.4 Documentation of Grievances				
15.7.5 Rights and Responsibilities	Error!	Bookmark	not d	lefined.
Review and Revision	Error!	Bookmark	not d	lefined.
References	Error!	Bookmark	not d	lefined.
Notes on Compliance with ARDOT Regulations				
Policy Review and Approval	Error!	Bookmark	not d	lefined.
Approval Signatures	Error!	Bookmark	not d	lefined.
Policy Distribution	Error!	Bookmark	not d	lefined.
16.0 Medical Director Policy	Error!	Bookmark	not d	lefined.
16.1 Purpose	Error!	Bookmark	not d	lefined.
16.2 Additional Authority	Error!	Bookmark	not d	lefined.
16.3 Scope	Error!	Bookmark	not d	lefined.
16.4 Responsible Party	Error!	Bookmark	not d	lefined.
16.5 Definitions	Error!	Bookmark	not d	lefined.
16.6 Policy Statement	Error!	Bookmark	not d	lefined.
16.7 Procedure	Error!	Bookmark	not d	lefined.
16.1 Appointment of Medical Director	Error!	Bookmark	not d	lefined.
16.2 Medical Oversight and Guidance	Error!	Bookmark	not d	lefined.
16.3 Collaboration with Healthcare Providers	Error!	Bookmark	not d	lefined.

16.4 Review and Revision	Error! Bookmark not defined.
16.5 References	Error! Bookmark not defined.
16.6 Notes on Compliance with ARDOT Regulations	Error! Bookmark not defined.
16.7 Policy Review and Approval	Error! Bookmark not defined.
16.8 Policy Distribution	Error! Bookmark not defined.
16.9 Approval Signatures	Error! Bookmark not defined.
16.10 Implementation Plan	Error! Bookmark not defined.
16.11 Medical Oversight Activities	Error! Bookmark not defined.
16.12 Incident Reporting and Investigation	Error! Bookmark not defined.
16.13 Continuous Quality Improvement	Error! Bookmark not defined.
16.14 Collaboration with Healthcare Providers	Error! Bookmark not defined.
Review and Revision	Error! Bookmark not defined.
References	Error! Bookmark not defined.
Notes on Compliance with ARDOT Regulations	Error! Bookmark not defined.
Policy Review and Approval	Error! Bookmark not defined.
Policy Distribution	Error! Bookmark not defined.
Approval Signatures	Error! Bookmark not defined.
Appendices	Error! Bookmark not defined.
Appendix 1: Glossary of Terms	Error! Bookmark not defined.
Appendix 2: Forms and Templates	39

# **Non-Emergency Medical** Transportation Provider POLICIES AND PROCEDURES MANUAL

## **I.0 Introduction**

Policy Number:

Effective Date: [Insert Date]

**Reviewed and Revised Date:** [Insert Date]

**Policy Title:** Introduction

## 1.1 Purpose and Scope

The purpose of this Policy and Procedures Manual is to establish comprehensive guidelines and requirements for all drivers of [COMPANY NAME], a non-emergency medical transportation (NEMT) provider. This manual is designed to ensure compliance with applicable Arkansas laws and regulations, promote the safety of our drivers and passengers, and provide clear instructions for daily operations and emergency situations. This manual shall apply to all drivers operating vehicles on behalf of [COMPANY NAME].

The specific objectives of this manual include:

- 1. Ensuring the safety and well-being of passengers during transport.
- 2. Providing clear operational procedures for drivers.
- 3. Complying with Arkansas state regulations governing NEMT services.
- 4. Promoting professionalism and accountability among all drivers.
- 5. Maintaining consistent and high-quality service standards.

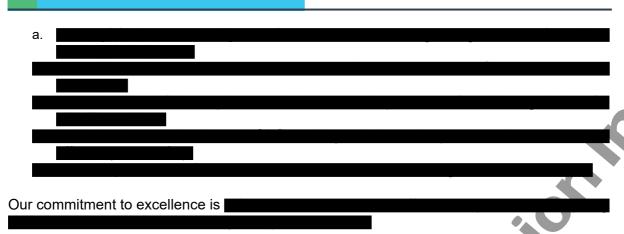
This manual is a binding document for all employees and contractors operating under [COMPANY NAME], and adherence to its policies and procedures is mandatory.

## 1.2 Company Overview

[COMPANY NAME], established in \_\_\_\_\_, is a non-emergency medical transportation provider based in Arkansas. Our mission is to

Our services include transportation to and from medical appointments, rehabilitation centers, hospitals, and other healthcare facilities. [COMPANY NAME] prides itself on its fleet of well-maintained vehicles and team of trained, courteous drivers dedicated to providing the highest level of care and service.

Key milestones in our history:



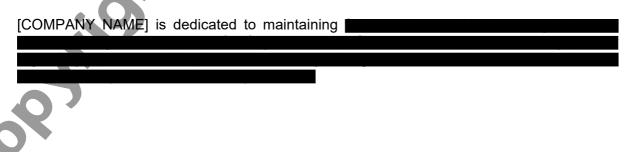
## 1.3 Compliance and Regulatory Framework

[COMPANY NAME]'s operations are governed by a comprehensive set of state laws and regulations designed to ensure the safety and reliability of non-emergency medical transportation services. The primary regulatory frameworks include:

- 1. Arkansas Non-Emergency Transportation Services Act (Ark. Code Ann. § 20-10-801 et seq.): This legislation establishes the requirements for licensing, operating standards, and oversight of NEMT providers in the state of Arkansas.
- 2. Arkansas Department of Health (ADH) Non-Emergency Transportation Services Rules (ADHR 007.05.1): These rules provide detailed regulations on various aspects of NEMT operations, including driver qualifications, vehicle standards, and service delivery protocols.

Key regulatory provisions include:

- 1. **Driver Qualifications (Ark. Code Ann. § 20-10-805; ADHR 007.05.1-004)**: Drivers must meet specific criteria, including age, driving record, background checks, and training requirements.
- 2. Vehicle Standards (Ark. Code Ann. § 20-10-806; ADHR 007.05.1-005): Vehicles must be equipped with safety features, undergo regular inspections, and be maintained in good operating condition.
- 3. Service Delivery and Reporting (Ark. Code Ann. § 20-10-807; ADHR 007.05.1-006): Drivers must follow procedures for trip documentation, passenger assistance, and incident reporting.
- 4. Compliance Monitoring and Enforcement (Ark. Code Ann. § 20-10-808; ADHR 007.05.1-007): The ADH conducts audits and inspections to ensure compliance, and providers must implement corrective actions for any identified issues.



# 2.0 Vehicle Acquisition and Maintenance

Policy Number:

Effective Date: [Insert Date]

Reviewed and Revised Date: [Insert Date]

Policy Title: Vehicle Acquisition and Maintenance

## 2.1 Purpose

The purpose of this policy is to ensure that all vehicles owned or operated by [COMPANY NAME] are

## 2.2 Additional Authority

This policy is governed by the following Arkansas Department of Transportation (ARDOT) regulations:

- 1. ARDOT Regulation 13.1.1.1
- 2. ARDOT Regulation 13.1.1.2

#### 2.3 Scope

This policy applies to all drivers and personnel responsible for the operation and maintenance of [COMPANY NAME] vehicles.

## 2.4 Responsible Party

- 1. **Transportation Manager:** Responsible for managing transportation operations and ensuring compliance with ARDOT regulations. Contact: [Phone Number]
- 2. **Fleet Maintenance Supervisor:** Responsible for overseeing the maintenance and repair of [COMPANY NAME] vehicles. Contact: [Phone Number]

#### 2.5 Definitions

- Fleet Maintenance Supervisor: The supervisor responsible for overseeing the maintenance and repair of [COMPANY NAME] vehicles.
- **Transportation Manager:** The manager responsible for managing the transportation operations and ensuring compliance with ARDOT regulations.

## 2.6 Policy Statement

[COMPANY NAME] shall ensure that all vehicles are

#### 2.7 Procedure

## 2.7.1 Vehicle Acquisition

#### 2.7.1.1 Vehicle Selection

1. The Transportation Manager shall

2. Selection criteria shall include

## 2.7.1.2 Purchasing Process

1. The Transportation Manager shall

2. The purchasing process shall

## 2.7.1.3 Inspection and Testing

1. The Fleet Maintenance Supervisor shall

2. Inspections shall include

#### 2.7.2 Vehicle Maintenance

## 2.7.2.1 Regular Inspections

1. The Fleet Maintenance Supervisor shall

2. Inspections shall be conducted

#### 2.7.2.2 Maintenance and Repair

1. The Fleet Maintenance Supervisor shall

n.

Maintenance schedules shall be

3. Emergency repairs shall be

#### 2.7.2.3 Record Keeping

- 1. The Fleet Maintenance Supervisor shall
- 2. Records shall include the
- 3. All records shall be stored in

#### 2.7.3. Vehicle Retirement

#### 2.7.3.1 Vehicle Retirement Criteria

- 1. The Transportation Manager shall
- 2. Criteria for retirement shall include

### 2.7.3.2 Disposal Process

- 1. The Transportation Manager shall
- 2. Disposal methods may include
- 3. All disposals shall comply with ARDOT and Environmental Protection Agency (EPA) regulations.

#### 2.4. Documentation

#### 2.4.1 Vehicle Records

- 1. The Fleet Maintenance Supervisor shall
- 2. Records shall include

## 2.4.2 Inspection Reports

- 1. The Fleet Maintenance Supervisor shall |
- 2. Inspection reports shall be

#### 2.4.3 Maintenance Records

- 1. The Fleet Maintenance Supervisor shall
- 2. Records shall be updated in

## 2.5. Compliance

## 2.5.1 ARDOT Regulations

1.	[COMPANY NAME] shall
2.	Compliance shall be monitored through
2.5.2	Inspections and Audits
1.	The Transportation Manager shall
2.	Audits shall be conducted
2.6. F	Review and Revision
1.	This policy shall be reviewed and revised
2.	The Transportation Manager shall be
Notes	on Compliance with ARDOT Regulations:
•	ARDOT Regulation 13.1.1.1: This regulation requires transportation companies to maintain vehicles in a safe and operable condition.  ARDOT Regulation 13.1.1.2: This regulation mandates proper documentation of vehicle inspections and maintenance.
Policy	Review and Approval
Appro	oval Signatures
•	Transportation Manager: Date: Date: Date: Date: Distribution

## 3.0 Vehicle Safety Features and Requirements

Policy Number:

**Effective Dates:** 

**Reviewed and Revised Dates:** 

**Policy Title:** Vehicle Safety Features and Requirements

## 3.1 Purpose

This policy outlines the |

#### 3.2 Additional Authority

This policy is governed by the following Arkansas Department of Transportation (ARDOT) regulations:

- 1. ARDOT Regulation 13.1.1.1
- 2. ARDOT Regulation 13.1.1.2
- 3. ARDOT Regulation 13.1.1.3

#### 3.3 Scope

This policy applies to all drivers and vehicles operated by [COMPANY NAME].

#### 3.4 Responsible Party

1. **Transportation Manager:** Responsible for administering and enforcing this policy. Contact: [Phone Number]

## 3.5 Definitions

- 1. **ARDOT:** Arkansas Department of Transportation
- 2. **Vehicle:** Any motorized vehicle used for transportation services by [COMPANY NAME]

## 3.6 Policy Statement

[COMPANY NAME] is committed to ■

#### 3.7 Procedure

## A. Vehicle Safety Features and Requirements

#### 1. Seatbelts and Airbags:

- a. All vehicles shall be
- b. Seatbelts shall be used by
- c. The Fleet Maintenance Supervisor shall

## 2. Vehicle Safety Inspections:

- a. Vehicles shall
- b. Inspections shall include
- c. The Fleet Maintenance Supervisor shall |

#### 3. Additional Safety Features:

- a. Vehicles shall be equipped with
- b. The Fleet Maintenance Supervisor shall ensure

#### 4. Reporting:

- a. Any issues or defects identified
- b. The Transportation Manager shall

## **B. Vehicle Maintenance and Repair**

#### 1. Regular Maintenance:

- a. Vehicles shall undergo
- b. Maintenance records shall be

## 2. Repair and Replacement:

- a. Vehicles shall be
- b. The Fleet Maintenance Supervisor shall oversee

## C. Driver Responsibilities

- 1. Vehicle Inspection:
  - a. Drivers shall inspect
  - b. Drivers shall complete
- 2. Reporting Issues:
  - a. Drivers shall report
  - b. The Transportation Manager shall

## D. Compliance and Enforcement

- 1. Compliance:
  - a. All drivers and vehicles shall comply with this policy and ARDOT regulations.
- 2. Enforcement:
- E. Review and Revision

Notes on Compliance with ARDOT Regulations:

- ARDOT Regulation 13.1.1.1: This regulation requires transportation companies to maintain vehicles in a safe and operable condition.
- ARDOT Regulation 13.1.1.2: This regulation mandates proper documentation of vehicle inspections and maintenance.
- ARDOT Regulation 13.1.1.3: This regulation specifies the safety features that vehicles must have, such as seatbelts and airbags.

# **Policy Review and Approval**

#### **Approval Signatures**

Transportation Manager: \_\_\_ Date:

## **Policy Distribution**

## 4.0 Vehicle Assignment and Use

Policy Number:

**Effective Dates:** 

**Reviewed and Revised Dates:** 

Policy Title: Vehicle Assignment and Use

## 4.1 Purpose

This policy outlines the

# 4.2 Additional Authority

This policy is governed by the following Arkansas Department of Transportation (ARDOT) regulations:

- 1. ARDOT Regulation 13.1.1.1
- 2. ARDOT Regulation 13.1.1.2
- 3. ARDOT Regulation 13.1.1.4

#### 4.3 Scope

This policy applies to all drivers and vehicles operated by [COMPANY NAME].

#### 4.4 Responsible Party

1. **Transportation Manager:** Responsible for administering and enforcing this policy. Contact: [Phone Number]

#### 4.5 Definitions

- 1. **Vehicle:** A motor vehicle used for transportation services provided by [COMPANY NAME].
- 2. **Driver:** An individual assigned to operate a vehicle for [COMPANY NAME].

#### 4.6 Policy Statement

[COMPANY NAME] is committed to |

#### 4.7 Procedure

## 4.7.1 Vehicle Assignment and Use

#### 4.7.1.1 Vehicle Assignment Criteria

- 1. Vehicle Selection:
  - a. Vehicles shall be selected
  - b. The Transportation Manager shall
- 2. Driver Qualifications:
  - a. Drivers shall be
  - b. Drivers must hold I

#### 4.7.1.2 Vehicle Use

- 1. Vehicle Maintenance:
  - a. Vehicles shall be
  - b. Maintenance schedules shall
- 2. Driver Responsibilities:
  - a. Drivers shall
  - b. Drivers shall complete a pre-trip inspection using the Vehicle Inspection Form (Appendix B) to ensure all safety features are operational.
- 3. Vehicle Safety:
  - a. Drivers shall
  - b. The Fleet Maintenance Supervisor shall

## 4.7.1.3 Vehicle Assignment and Use Timeline

- 1. Vehicle Assignment:
  - a. Vehicles shall be
  - b. The Transportation Manager shall
- 2. Vehicle Inspection:

a.	Vehicles shall
h	Drivers shall use
D.	Drivers shall use
3. Trip C	Completion:
a.	Drivers shall
b.	The Transportation Manager shall
4.7.2 Comp	pliance and Enforcement
_	
1. Comp	liance:
a.	All drivers and vehicles
2. Enforce	cement:
Doviou on	d Povision
Review and	d Revision
	_
	*

## Notes on Compliance with ARDOT Regulations:

- ARDOT Regulation 13.1.1.1: This regulation requires transportation companies to maintain vehicles in a safe and operable condition.
- ARDOT Regulation 13.1.1.2: This regulation mandates proper documentation of vehicle inspections and maintenance.
  - **ARDOT Regulation 13.1.1.4:** This regulation specifies the criteria for vehicle assignment and use.

#### **Policy Review and Approval**

## **Approval Signatures**

Transportation Manager: 

Date:

# 5.0 Vehicle Usage Guidelines

Policy Number: -

**Effective Dates:** 

**Reviewed and Revised Dates:** 

Policy Title: Vehicle Usage Guidelines

## 5.1 Purpose

This policy outlines the

## 5.2 Additional Authority

This policy is governed by the following Arkansas Department of Transportation (ARDOT) regulations:

- 1. ARDOT Regulation 13.1.1.1
- 2. ARDOT Regulation 13.1.1.2

#### 5.3 Scope

This policy applies to all drivers and vehicles operated by [COMPANY NAME].

#### 5.4 Responsible Party

**Transportation Manager:** Responsible for administering and enforcing this policy. Contact: [Phone Number]

#### 5.5 Definitions

- **Vehicle:** A motor vehicle used for transportation services provided by [COMPANY NAME].
- **Driver:** An individual assigned to operate a vehicle for [COMPANY NAME].

## 5.6 Policy Statement

COMPANY NAME] is committed to I

#### 5.7 Procedure

## 5.7.1 Usage Guidelines

#### 5.7.1.1 General Usage Rules

#### 1. Authorized Use:

- a. Vehicles shall
- b. Personal use of

#### 2. Prohibited Activities:

- a. Vehicles shall
- b. Drivers shall not l
- c. Use of alcohol, drugs, or any substances that impair driving ability while operating company vehicles is strictly prohibited (ARDOT Regulation 13.1.1.1).

#### 3. Compliance with Traffic Laws:

- a. Drivers shall
- b. Drivers shall observe

## 5.7.2 Driver Responsibilities

- 1. Pre-Trip Inspection:
  - a. Drivers shall conduct
  - b. Any issues identified

#### 2. Safe Driving Practices:

- a. Drivers shall practice
- b. Use of mobile phones or other electronic devices while driving is prohibited unless hands-free options are used.

## 3. Vehicle Security:

- a. Drivers shall ensure
- b. Personal belongings and company property shall

#### 5.7.3 Vehicle Care and Maintenance

1.	Routine	<b>Maintenance:</b>

- a. Drivers shall
- b. Drivers are responsible for

#### 2. Cleanliness:

a. Drivers shall keep

## 3. Damage Reporting:

- a. Any damage to the vehicle,
- b. Drivers shall complete |

## 5.7.4 Incident Reporting and Response

#### 1. Accident Procedures:

- a. In the event of an accident, drivers shall |
- b. Drivers shall complete

## 2. Breakdown Procedures:

- a. If a vehicle breaks down, the driver shall
- b. The driver shall contact

## 5.7.5 Compliance and Monitoring

- 1. Monitoring Usage:
- 2. Enforcement:

	•
Notes on Compliance with ARDOT Reg	julations:
<ul> <li>ARDOT Regulation 13.1.1.1: This maintain vehicles in a safe and op</li> </ul>	s regulation requires transportation com erable condition.
ARDOT Regulation 13.1.1.2: This vehicle inspections and maintenar	s regulation mandates proper document
Approval Signatures	
Transportation Manager:	Date:
An electronic copy shall be available.	ole on the [COMPANY NAME] intranet.

## 6.0 Drivers Qualification

**Policy Number:** 

**Effective Dates:** 

Reviewed and Revised Dates:

Policy Title: Drivers Qualification

#### 6.1 Purpose

This policy outlines the

## 6.2 Additional Authority

This policy is governed by the following Arkansas Department of Transportation (ARDOT) regulations:

- a. ARDOT Regulation 13.1.1.1
- b. ARDOT Regulation 13.1.1.2

## 6.3 Scope

This policy applies to all drivers employed by [COMPANY NAME].

## 6.4 Responsible Party

a. Transportation Manager: Responsible for administering and enforcing this policy. Contact: [Phone Number]

#### 6.5 Definitions

- a. **Driver:** An individual assigned to operate a vehicle for [COMPANY NAME].
- b. Motor Vehicle Record (MVR): A record of a driver's driving history, including violations, accidents, and license status.

## 6.6 Policy Statement

[COMPANY NAME] is committed to ■

# 6.7 Procedure Drivers Qualification

#### 6.7.1 Driver's License

- 1. License Requirements:
  - a. All drivers shall
  - b. The CDL must be issued |
- 2. Verification:
  - .

## 6. 7.2 Driver's Record

- 1. Motor Vehicle Record (MVR) Review:
  - a. [COMPANY NAME] shall obtain and
  - b. The MVR review shall
- 2. Qualification Criteria:
  - a. Drivers must meet the following criteria to be eligible for hire and continued employment:
- 3. Disqualification:
  - a. Drivers who do not meet these criteria shall not be hired or retained.

# 6.7.3 Driver Training

- 1. Initial Training:
  - a. All drivers shall complete the following training prior to operating a vehicle for [COMPANY NAME]:
    - •
    - •

2. Ongoing Training:  a. Drivers shall complete  3. Training Records:  a. [COMPANY NAME]shall maintain						
<ul> <li>2. Ongoing Training:</li> <li>a. Drivers shall complete</li> <li>3. Training Records:</li> <li>a. [COMPANY NAME]shall maintain</li> </ul>	T PROVIDE	ARKANSAS-BASED NEMT PI				
Training Records:  a. [COMPANY NAME]shall maintain					Ongoi	2.
a. [COMPANY NAME]shall maintain			omplete	Drivers shall co	a.	
				ing Records:	Traini	3.
G. 7. A. Duiyou Monitoring			AME]shall maintain	[COMPANY NA	a.	
6.7.4 Driver Monitoring			J	r Monitoring	Drive	6.7.4
1. Performance Monitoring:			oring:	rmance Monito	Perfo	1.
a. [COMPANY NAME] shall monitor			AME] shall monitor	[COMPANY NA	a.	

# 6.7.5 Driver Impairment

1. Zero-Tolerance Policy

2. Disciplinary Action:

- a. [COMPANY NAME] has a zero-tolerance policy for driver impairment.
- 2. Medical Conditions and Medications:

a. Drivers who exhibit unsafe

# 6.7.6 Driver Conduct

- **Professional Conduct:** 
  - a. Drivers shall maintain professional conduct at all times while on duty, including:

2. Disciplinary Action:
o Drivers who fail to meet these
6.7.7 Driver Reporting
1. Accidents and Incidents:
a. Drivers shall immediately report
b. A detailed report shall be
2. Changes in License Status or Medical Condition:
a. Drivers shall report
Review and Revision
1.
Notes on Compliance with ARDOT Regulations:
ARDOT Regulation 13.1.1.1: This regulation requires transportation companies to maintain high standards for driver qualifications.
ARDOT Regulation 13.1.1.2: This regulation mandates the reporting of any conditions that may impair a driver's ability to operate a vehicle safely.
Policy Review and Approval
Approval Signatures
Transportation Manager: Date:
Policy Distribution

# 7.0 Drug and Alcohol Policy

Policy Number:

**Effective Dates:** 

Reviewed and Revised Dates:

Policy Title: Drug and Alcohol Policy

#### 7.1 Purpose

The purpose of this policy is to establish

## 7.2 Additional Authority

- a. Arkansas Code Annotated (A.C.A.) § 27-23-101 et seq. Uniform Commercial Driver's License Act
- b. A.C.A. § 27-16-801 et seq. Implied Consent to Chemical Test for Intoxication
- c. Arkansas Department of Transportation (ARDOT) Regulations 13.1.2.1 Drug and **Alcohol Testing Requirements**

## 7.3 Scope

This policy applies to all drivers employed by [COMPANY NAME].

## 7.4 Responsible Party

#### 7.5 Definitions

- a. **Controlled Substance:** A drug or chemical substance whose manufacture, possession, or use is regulated by law.
- b. **Reasonable Suspicion:** Specific, contemporaneous, articulable observations concerning the appearance, behavior, speech, or body odors of the driver.
  - **Safety-Sensitive Function:** Any on-duty function of operating a commercial motor vehicle, including all time spent at a facility, driving, inspecting, servicing, or conditioning any commercial motor vehicle.

## 7.6 Policy Statement

[COMPANY NAME] maintains a

#### A. Zero Tolerance Statement

- 1. Controlled Substances:
  - a. Drivers are prohibited from
- 2. Alcohol:
  - a. Drivers are prohibited from
  - b. Drivers are prohibited from

#### B. Testing Procedures

- 1. Pre-Employment:
  - a. All applicants
- 2. Random Testing:
  - a. Drivers will be subject to
- 3. Post-Incident Testing:
  - a. Drivers will be

## C. Disciplinary Actions

- 1. Positive Test Results:
  - a. Any driver who tests positive

2.	Refusal to Test:	
	a. Refusal to submit	
3.	Alcohol Use within 4 Hours of Duty:	
	a. Drivers who are found	
		•
7.7 P	rocedures	
A. Pre	-Employment Testing	
1.	Testing Requirement:	
	a. All applicants	
2.	Hiring Condition:	
	a. Applicants will	
3.	Coordination:	
B. Ra	ndom Testing	
1.	Testing Program:	
		J
2.	Selection Process:	
	a. Drivers will be	
3.	Notification:	
	a. Drivers selected	
^	d. Brivers selected	
4.	Administration:	
7		

## C. Post-Incident Testing

#### 1. Testing Requirement:

a. Drivers will be tested





#### 1. Positive Test Results:

a. Any driver who tests positive

#### 2. Refusal to Test:

a. Refusal to submit

#### 3. Alcohol Use within 4 Hours of Duty:

a. Drivers who are found

4. Administration:

**Review and Revision** 

#### VIII. References

- Arkansas Code Annotated (A.C.A.) § 27-23-101 et seq. Uniform Commercial Driver's License Act
- A.C.A. § 27-16-801 et seq. Implied Consent to Chemical Test for Intoxication
- Arkansas Department of Transportation (ARDOT) Regulations 13.1.2.1 Drug and Alcohol Testing Requirements

## Notes on Compliance with ARDOT Regulations:

- **ARDOT Regulation 13.1.2.1(a):** This regulation requires transportation companies to prohibit drivers from using controlled substances unless prescribed by a licensed medical practitioner.
- ARDOT Regulation 13.1.2.1(b): This regulation mandates that drivers must not report for duty with an alcohol concentration of 0.04 or greater.
- ARDOT Regulation 13.1.2.1(c): This regulation prohibits drivers from using alcohol within 4 hours of duty.

## **Approval Signatures**

Safety Manager: \_\_\_\_\_ Date: \_\_\_\_\_

# 8.0 Rehabilitation and Assistance Programs, Drug Test Reporting, and Record Keeping

Policy Number:

**Effective Dates:** 

**Reviewed and Revised Dates:** 

**Policy Title:** Rehabilitation and Assistance Programs, Drug Test Reporting and Record Keeping

## 8.1 Purpose

The purpose of this policy is to establish

## 8.2 Additional Authority

- a. Arkansas Code Annotated (A.C.A.) § 27-23-101 et seq. Uniform Commercial Driver's License Act
- b. A.C.A. § 27-16-801 et seq. Implied Consent to Chemical Test for Intoxication
- c. Arkansas Department of Transportation (ARDOT) Regulations 13.1.2.1 and 13.1.2.2 Drug and Alcohol Testing Requirements

## 8.3 Scope

This policy applies to all drivers employed by [COMPANY NAME].

# 8.4 Responsible Party

#### 8.5 Definitions

- a. **Controlled Substance:** A drug or chemical substance whose manufacture, possession, or use is regulated by law.
- b. **Reasonable Suspicion:** Specific, contemporaneous, articulable observations concerning the appearance, behavior, speech, or body odors of the driver.
- c. **Safety-Sensitive Function:** Any on-duty function of operating a commercial motor vehicle, including all time spent at a facility, driving, inspecting, servicing, or conditioning any commercial motor vehicle.
- d. **Confidential Information:** Any information related to an employee's drug and alcohol testing results, medical history, or other personal health information.

## 8.6 Policy Statement

## **Appendix 2: Forms and Templates**

Appendix A: Vehicle Inspection Checklist
Appendix B: Pre-Trip Inspection Checklist
Appendix C: Vehicle Assignment Form
Appendix D: Vehicle Inspection Form
Appendix E: Trip Completion Form
Appendix F: Damage Report Form
Appendix G: Accident Report Form

Appendix H: Motor Vehicle Record (MVR) Review Checklist

Appendix I: Training Record Form

Appendix J: Driver Performance Monitoring Form,

Appendix K: Incident Report Form

Appendix L: Pre-Employment Testing Checklist

Appendix M: Random Testing Log

Appendix N: Detailed Training Procedure

#### Note to Readers:

Thank you for exploring this sample of our work. In order to maintain the brevity of our online showcase, we've provided only a selection from this piece.

Should you be interested in viewing the complete work or wish to delve deeper into our portfolio, please don't hesitate to reach out. We're more than happy to provide extended samples upon request.

Thank you,

The Write Direction Team